

File
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S&H Form: PTO/SB/30 (12/04)

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

(INCLUDING FILING FEE AND/OR PETITION FOR
EXTENSION OF TIME FEE)

*Subsection (b) of 35 U.S.C. §132, effective May 29, 2000
provides for continued examination of a utility or plant application
filed on or after June 8, 1995.*

See The American Inventors Protection Act of 1999 (AIPA)

To: **Commissioner for Patents**
Box RCE
PO Box 1450
Alexandria, VA 22313-1450

Attorney Docket No.: 1448.1056

First Named Inventor: Norihisa NAGANUMA, et al.

Application No.: 10/821,857

Group Art Unit: 2872

Filing Date: April 12, 2004

Examiner: Audrey Y. Chang

CPA Filing Date:

Confirmation No: 1803

Title of Invention: WAVELENGTH CHARACTERISTIC VARIABLE FILTER, OPTICAL AMPLIFIER, AND OPTICAL COMMUNICATIONS APPARATUS

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

1.

Submission required under 37 C.F.R. §1.114 (Box a or b must be completed)

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on ____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on ____

iii. ☐ Other

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)



iv. ☐ Other

2.

Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §103(c) for a period of ____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required).

b. ☐ Other

				BASIC FEE		\$ 790.00
Since an Official Action set an <u>original</u> due date of <u>January 3, 2006</u> , petition is hereby made for an extension of time to cover the date this RCE is filed, for which the requisite fee is enclosed (1 month (\$120)); (2 months (\$450)); (3 months (\$1,020)); (4 months (\$1,590)); (5 months (\$2,160)):						1020.00
Claims As Amended	Claims Remaining After Amendment	Highest Number Previously Paid For		Number Extra	Rate	
Total Claims	20	20	- 20 =	0	X \$ 50.00 =	\$ 0.00
Independent Claims	4	4	- 3 =	0	X \$ 200.00 =	\$ 0.00
Suspension Fee (\$130.00)						
Total of above Calculations =						\$ 1810.00
Reduction by 50% for filing by small entity (Note 37 C.F.R. 1.9, 1.27, 1.28).						
TOTAL FEES DUE =						\$ 1810.00
4. <input type="checkbox"/> Small entity status: a. <input type="checkbox"/> Verified Statement Claiming Small Entity Status. b. <input type="checkbox"/> A Verified Statement Claiming Small Entity Status was previously filed and such status is still proper and desired. c. <input type="checkbox"/> is no longer claimed. 5. <input type="checkbox"/> Other:						
6. METHOD OF PAYMENT						
<input checked="" type="checkbox"/> A check in the amount of \$ <u>\$1810.00</u> is enclosed. <input type="checkbox"/> Charge "TOTAL FEES DUE" to Deposit Account No. 19-3935. (A duplicate copy of this form is enclosed.)						
7. GENERAL AUTHORIZATION						
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 C.F.R. 1.16 (filing fees) or 37 C.F.R. 1.17 (processing fees) during the prosecution of this application and of any related application(s) claiming benefit hereof pursuant to 35 U.S.C. §120 to maintain pendency hereof and of any such related application to: Deposit Account No. 19-3935.						
8. CORRESPONDENCE ADDRESS						
STAAS & HALSEY LLP  21171 <small>PATENT TRADEMARK OFFICE</small>						
9. SIGNATURE OF ATTORNEY OR AGENT REQUIRED						
NAME	Paul I. Kravetz			REGISTRATION NO.	35,230	
SIGNATURE				DATE	March 30, 2006	